

**Date:** 30 December 2002

**To:** All market participants,  
the market support services licensee,  
Power System Operator, Energy Market Company  
Singapore Wholesale Electricity Market

**Cc:** Energy Market Authority

**Subject:** Delegation of Authority by the MSCP to the MAU

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c/o Market Assessment Unit  
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## Introduction

Chapter 3 of the market rules sets out the powers and duties of the Market Surveillance and Compliance Panel (MSCP). It also contemplates that the Market Assessment Unit (MAU) will assist the MSCP in carrying out its functions.

## Delegation

The MAU is the contact point for communications between market players and the MSCP. The MSCP will delegate to the MAU the authority to carry out on a day-to-day basis some of the functions prescribed by the market rules to be carried out by the MSCP.

The MSCP will have oversight of the activities of the MAU. All delegation of authority to the MAU will be accompanied by a level of accountability on the part of the MAU to the MSCP. A summary of the scope of delegation is attached to this statement to keep the market informed as to the extent to which the MAU may act on behalf of the MSCP.

Over time, these processes may need to be adjusted or fine-tuned as the market and our working relationship with the MAU develops. We will keep the market informed accordingly.

## **Communications with the MSCP**

With the above delegations, please direct any communications with the MSCP care of the MAU as follows:

Market Surveillance & Compliance Panel  
c/o Market Assessment Unit  
9 Raffles Place  
#22-01 Republic Plaza  
Singapore 048619  
Fax: 6533 0340  
Email: [mau@emcsg.com](mailto:mau@emcsg.com)

A handwritten signature in dark ink, consisting of a large, stylized initial 'J' followed by a long horizontal line that ends in a small upward tick.

**Joseph Grimberg**  
**Chair, Market Surveillance and Compliance Panel**  
**Designate**

## Scope of Delegation by MSCP to MAU

Provision in Market Rules	Activity to be undertaken by the MAU on behalf of the MSCP	Accountability of the MAU
<b>(1) Receipt of Information</b>		
Sections 7.1.7, 7.1.8 & 7.1.9 of Chapter 3	Receive notice and information of breach	Report such information at regular meetings of the MSCP, provided that any information considered by the MAU to require the immediate attention of the MSCP shall be referred to the Chair, MSCP, or in his absence, any member of the MSCP, for directions forthwith
Section 4.6.1 of Chapter 3	Receive referral or complaint	
Section 10.4.2 of Chapter 6	Receive report of any offer that exceeds specified offer change limits	
<b>(2) Commencement of Investigations</b>		
Sections 4.6.2 & 4.6.3 of Chapter 3	<p>(a) Subject to (b), commence investigating all cases, including a case relating to a potential breach by EMC, or a system operation case<sup>1</sup></p> <p>(b) If the MAU considers necessary, instruct the PSO to commence investigating a system operation case and keep the MAU informed of its investigations</p>	Report status of such investigations at regular meetings of the MSCP
<b>(3) Communications in relation to Investigations</b>		
Section 4.6.8 of Chapter 3	Undertake communications in relation to investigations, provided that prior approval of the MSCP is sought where the MAU considers necessary	Report such communications at regular meetings of the MSCP

<sup>1</sup> A case concerning a section of the market rules that relates to a function, power or duty of the PSO, the system operation manual or any market manual that is referred to in or relates to a section of the market rules concerning a function, power or duty of the PSO.

Provision in Market Rules	Activity to be undertaken by MAU on behalf of MSCP	Accountability of MAU
<b><i>(4) Investigative Powers</i></b>		
Sections 4.6.9 and 4.6.12 of Chapter 3	Exercise powers to request for records or information, conduct interviews, inspect premises and inspect, copy or remove records, documents or information	Report the exercise of such powers at regular meetings of the MSCP
<b><i>(5) Receipt of Information in Urgent Circumstances</i></b>		
Section 7.3.2 of Chapter 3	Receive notice of event of default or of a receiver, receiver and manager or equivalent person being appointed	Report such information to the MSCP forthwith
Sections 7.3.3 and 7.3.10 of Chapter 3	Receive request for suspension order	
Section 7.4.1.2 of Chapter 3	Receive notice that the subject of suspension order is not likely to remedy an event of default	