

APPENDIX D – DECLARATION FOR APPOINTMENT AS A MEMBER OF THE MARKET SURVEILLANCE AND COMPLIANCE PANEL

In support of my appointment for membership on the *market surveillance and compliance panel*, I hereby declare, to the best of my knowledge and belief after due inquiry:

1. That I am not, and my spouse is not, a director, officer, employee or agent of:
 - 1.1 the *EMC*;
 - 1.2 the *PSO*;
 - 1.3 a *market participant*;
 - 1.4 a *market support services licensee*; or
 - 1.5 a person that is an *affiliate* of any person referred to in items 1.1 to 1.4 above.

2. That except as noted below, I do not have, and my spouse does not have, any direct or indirect legal or beneficial interest in or commercial affiliation with any person referred to in items 1.1 to 1.5 above.

3. That except as noted below, no relative (i.e. parent, step-parent, child, step-child or sibling or step-sibling, including where such relationship is pursuant to adoption) of mine or of my spouse is, a director, officer, employee or agent of any person referred to in items 1.1 to 1.5 above.

4. That except as noted below, no relative (i.e., parent, step-parent, child, step-child or sibling or step-sibling, including where such relationship is pursuant to adoption) of mine or of my spouse has, any direct or indirect legal or beneficial interest in or commercial affiliation with any person referred to in items 1.1 to 1.5 above.

5. That except as stated above, or noted below, I do not have any real or potential conflict of interest or any circumstance, that may prevent me from acting independently and impartially when carrying out my duties as a member of the *market surveillance and compliance panel* if I am appointed.

6. That I understand that:

- 6.1 terms italicised in this declaration shall have the meanings ascribed thereto in Chapter 8 of the *market rules*; and
- 6.2 this declaration shall be interpreted in accordance with section 2.7.3 of Chapter 3 of the *market rules*.

7. That I shall promptly disclose any circumstance which does or is likely to result in any of the declarations made above ceasing to be complete or accurate.

8. That I shall provide any and all information which may from time to time be required by the *EMC* Board (including at any time before or after my appointment to the *market surveillance and compliance* panel) to confirm or verify any of my declarations herein and any information which I have stated above.

Dated: _____

Signed: _____

Name: _____